Introduction

This application note describes the FUOTA project embedded in the expansion software (I-CUBE-LRWAN) implementation on STM32L4 Series devices, and explains how to make use of the overall FUOTA process in order to provide the components needed for a FUOTA campaign.

This document applies within the framework of a FUOTA project, and is intended for teams or individuals, either internal or external to ST. It particularly targets FUOTA project integrators, or those integrating FUOTA modules in a wider system implementing end-device functions.

LoRa® is a type of wireless telecommunication network designed to allow long range communication at a very low bit rate, enabling long-life battery operated sensors. LoRaWAN® defines the communication and security protocol to ensure interoperability with LoRa networks.
1 General information

This document applies to STM32L476xx Series Arm®-based microcontrollers.

Note: Arm is a registered trademark of Arm Limited (or its subsidiaries) in the US and/or elsewhere.
The FUOTA project in I-CUBE-LRWAN is compliant with the LoRa Alliance® specification protocol (LoRaWAN version V1.0.3) [1].

The FUOTA feature is implemented in the application layer, and is based on and compliant with the specific functionalities defined by the LoRA Alliance. These functionalities allow a multicast group (Remote Multicast Setup Spec V1.0.0 [4]) to be set up, to fragment and to send data packets (Fragmented Data Block Transport Specification v1.0.0 [3]) and finally to synchronize clocks (LoRaWAN Application Layer Clock Synchronization Specification v1.0.0 [5]) so that all devices can agree on the start of a FUOTA session.

Note: Throughout this application note, the IAR™ EWARM IDE is used as an example to provide guidelines for project configuration.

2.1 Application supports

The firmware update over-the-air (FUOTA) project support is as follows:
- full firmware-upgrade image (the entire firmware image is sent to the end-device)
- only applicable in Class-C mode
- only runs on STM32L476xx targets
- third-party middleware, mdedTLS (open-source code) for the cryptographic services.

2.2 Terms and acronyms

Table 1. Acronyms used in this document

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABP</td>
<td>Activation by personalization</td>
</tr>
<tr>
<td>APDU</td>
<td>Application protocol data unit</td>
</tr>
<tr>
<td>FUOTA</td>
<td>Firmware update over the air</td>
</tr>
<tr>
<td>FW</td>
<td>Firmware</td>
</tr>
<tr>
<td>HAL</td>
<td>Hardware abstraction layer</td>
</tr>
<tr>
<td>LoRa</td>
<td>Long-range radio technology</td>
</tr>
<tr>
<td>LoRaWAN</td>
<td>LoRa, wide-area network</td>
</tr>
<tr>
<td>MAC</td>
<td>Media access control</td>
</tr>
<tr>
<td>MCPS</td>
<td>MAC common part sublayer</td>
</tr>
<tr>
<td>MLME</td>
<td>MAC sublayer management entity</td>
</tr>
<tr>
<td>MPDU</td>
<td>Mac protocol data unit</td>
</tr>
<tr>
<td>MSC</td>
<td>Message sequence chart</td>
</tr>
<tr>
<td>OTA</td>
<td>Over-the-air</td>
</tr>
<tr>
<td>PLME</td>
<td>Physical sublayer management Entity</td>
</tr>
<tr>
<td>PPDU</td>
<td>Physical protocol data unit</td>
</tr>
<tr>
<td>SBSFU</td>
<td>Secure boot and secure firmware update</td>
</tr>
<tr>
<td>SFU</td>
<td>Secure firmware update</td>
</tr>
<tr>
<td>TPDU</td>
<td>Transport protocol data unit</td>
</tr>
<tr>
<td>SAP</td>
<td>Service access point</td>
</tr>
</tbody>
</table>
Table 2. Terms used in this document

<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Firmware image</td>
<td>A binary image (executable) run by end-device as user application</td>
</tr>
<tr>
<td>Firmware header</td>
<td>Meta-data describing the firmware image to be installed.</td>
</tr>
<tr>
<td>mbedTLS</td>
<td>mbedTLS implementation of the TLS and SSL protocols and the associated cryptographic algorithm.</td>
</tr>
<tr>
<td>&quot;sfb&quot; file</td>
<td>Binary file packing the firmware header and the firmware image.</td>
</tr>
</tbody>
</table>

2.3 References

Table 3. Document references

<table>
<thead>
<tr>
<th>Reference</th>
<th>Document</th>
</tr>
</thead>
<tbody>
<tr>
<td>[1]</td>
<td>LoRa Alliance Specification Protocol (LoRaWAN version V1.0.3), March 2018</td>
</tr>
<tr>
<td>[3]</td>
<td>LoRa Alliance Fragmented Data Block Transport over LoRaWAN Specification v1.0.0, September 2018 – [TS-004]</td>
</tr>
<tr>
<td>[4]</td>
<td>LoRa Alliance Remote Multicast Setup over LoRaWAN Specification v1.0.0, September 2018 – [TS-005]</td>
</tr>
<tr>
<td>[5]</td>
<td>LoRa Alliance Application layer clock synchronization over LoRaWAN Specification v1.0.0, September 2018 – [TS-003]</td>
</tr>
<tr>
<td>[7]</td>
<td>UM2262 - Getting started with the X-CUBE-SBSFU STM32Cube Expansion Package – user manual</td>
</tr>
</tbody>
</table>
3 LoRa standard and FUOTA project feature

This section provides a general overview of the LoRa and LoRaWAN recommendations. It deals in particular with the LoRa end-device and the FUOTA feature, which are the core subjects of this application note. The LoRa software expansion is compliant with the LoRa Alliance LoRaWAN specification protocol [1].

3.1 Network architecture

Figure 1 shows the components and their protocol relationships, allowing the implementation of the firmware-over-the-air feature.

![Network diagram](image)

**Figure 1. Network diagram**

Note: In the I-CUBE-LRWN FUOTA package, the device management block is not implemented. The LoRa Alliance technical FUOTA working group is working on a LoRaWAN Firmware Management Protocol Specification, which documents and defines this block. The proposed implementation in the FUOTA application is a proof of concept.

3.1.1 Client/server architecture

The end-device where the software or firmware is to be updated is referred to as the *end node* or *client*. The other part of the system is referred to as the *cloud* or *server*, and provides the new software or firmware (Figure 2).

![Client/server architecture example](image)
3.1.2 End-device architecture
An end-device consists of a host MCU that reads sensor data in order to transmit the sensor reading over the LoRa network by means of the LoRa radio module.
Data is encrypted by the host MCU and the radio packet is received by the gateway, which forwards it to the network server. The network server then sends data to the application server, which has the right key to decrypt the application data.

3.2 End-device classes
LoRaWAN [1] has several end-device classes to address the various needs of a wide range of applications. The FUOTA application described in this application note is only 'Class-C enable'. In other words the FUOTA project is validated for network infrastructure supporting only Class-C mode.

Note: The end-device supports Class-B mode. Nevertheless it is only 'Class B capable'. To be 'Class B enable' it is mandatory to proceed to a new integration and validation phase on a network infrastructure supporting Class-B mode for the FUOTA campaign.

3.2.1 Class definition
• Bi-directional end devices - Class A - (all devices) see [1]
• Bi-directional end-devices with scheduled receive slots - Class B – (Beacon) see [1]
• Bi-directional end-devices with maximal receive slots - Class C – (Continuous)

Class-C mode is implemented to support FUOTA. Class-C end devices have almost continuously-open receive windows (RxC where the data blocks are received), and are only closed when transmitting (Tx) and receiving (Rx1, Rx2) in Class-A mode (Figure 3).

Figure 3. Tx/Rx timing diagram (Class C)
3.3 FUOTA - firmware update over the air

The FUOTA update process transfers a new software image (data file) from the server to the client, and updates the current software image (version N) running on the client with the new received software image (version N+1). Obstacles to successful completion of the FUOTA update process are:

- **Communication.** The new firmware image must be sent from the server to the client. This challenge is performed through the application-layer protocols running over LoRaWAN, which provide remote-multicast setup, fragmented data-block transport, and application-layer clock-synchronization services. The LoRaWAN Mac layer provides *Class-C mode* to transmit the data file in unicast or multicast mode.

- **Firmware update.** The client must migrate from the current to the new firmware image. This task is performed by the *Update_Agent* module. To succeed, the *Update_Agent* module relies on the services provided by the SBSFU application.

- **Memory.** The software architecture must be organized so that it can be executed when the update process completes. The solution must ensure the recovery of the new software version if there are installation issues. This task is handled by the SBSFU application.

- **Security.** When a new firmware image is sent wirelessly from server to client, several security services must be assured, such as: authentication, confidentiality and integrity. This must be done either through the LoRaWAN protocol or by means of the SBSFU application security services.

3.4 Network protocol architectures

This section describes the end-to-end network protocol architecture (see Figure 4). The following protocol exchanges are used:

- MAC protocol data unit exchanges (MPDU)
- application protocol of an application data unit exchanges (APDU)
- LoRaWAN protocol physical protocol data unit layer (PPDU).

**Figure 4. LoRa network protocols**
### 3.4.1 Network layer

The LoRaWAN architecture is defined in terms of blocks called layers. As shown in Figure 5, each layer is responsible for one part of the standard and offers services to higher layers. An end-device is made up of:

- a PHY, which embeds the radio frequency transceiver
- the MAC sublayer that provides access to the physical channel
- application layers that provide access to the LoRaWAN services protocol.

![Figure 5. LoRaWAN layers](image)

### 3.4.2 Physical layer (PHY)

The physical layer provides two services:

- the PHY data service enables the Tx/Rx of physical protocol data units (PPDUs)
- the PHY management service enables the personal-area network-information base (PIB) management.

### 3.4.3 MAC layer

The MAC layer provides two services:

- The MAC data service enables transmission and reception of MAC protocol data units across the physical layer (MPDU)
- The MAC sublayer management enables the PIB management.
3.4.4 Application layer

The application layer provides several messaging packages running over the LoRaWAN protocol. Here, FUOTA scopes the following:

A clock synchronization package (port Number 202)
- Synchronizes the end-device real-time clock to the network’s GPS
- Makes all end devices of a multicast group to switch to Class C temporarily and synchronously.

A fragmented-data-block transport package (port Number 201)
- Sets up / reports / deletes fragmentation transport sessions
- Several fragmentation sessions may be supported simultaneously by an end-device
- Fragmentation can be used either over multicast or unicast
- Reports the status of a fragmentation session.

A remote multicast setup package (port Number 200)
- Remotely creates a multicast group security context inside a group of end devices
- Reports the list of multicast contexts existing in the end-device
- Remotely deletes a multicast security context.
- Programs a Class-C multicast session
- Programs a Class-B multicast session.

Firmware management package (port Number 203) - (proof-of-concept implementation only)
- Queries/manages the firmware version running on an end-device (including availability of the firmware-update version)
- Queries/manages the end-device hardware version
- Manages the end-device reboot at a given time.

Update agent module
- Interfaces a LoRaWAN stack block to an SBSFU block
- Get and transmit the complete file (after recombination) to the secure firmware update (SFU) process of the SBSFU.

User application
- Sensor/actuator processing – application use cases
- Required to start a FUOTA session, with some user uplinks to open useful Rx windows for the packages described above.

3.5 Network/end-device interworking

This section only shows the information flow between end-device and application server at the application-layer level during a FUOTA campaign. For a complete view and description of the end-device and network interactions, refer to the STM32 LoRa expansion package user manual [8].

3.5.1 Multicast and fragmentation set-up

Time synchronization

Before setting up a FUOTA session, the end-device must have synchronized its timing with the network using either AppTimeReq or DeviceTimeReq as shown in Figure 6.
For the purposes of this presentation, the TimeReq sent by the MSC is divided into DeviceTimeReq and AppTimeReq parts. The LoRaWAN specification allows a MAC command to be piggybacked in an application payload. In the current implementation DeviceTimeReq is piggybacked in the AppTimeReq payload.

**Multicast, fragmentation setup and session creation (Class C only)**

In order to receive a data block at the application level, it is necessary to have some exchanges between the network application layer and the end-device application layer. These exchanges are mainly to define: a Multicast Group ID, the fragmentation parameters (frag number and frag size), and the multicast Class C session (start time and end time).
Fragment broadcasting and secure FW update process
As soon as the end-device is synchronized (Class C), it opens its Rx window in order to receive the data fragments [3]. It stays in this state until all the data fragments are received.
When the complete data block (see the note below) is received, the end-device closes its Rx windows, and if everything is OK from the 'data-block transfer' point-of-view, the end-device calls the UpdateAgent to start the secure firmware update (SFU) process.
Figure 8. Message sequence chart for data block broadcasting

Note: Additional user 'proprietary' protocol statement:
The V1.0 package, and particularly Fragmented Data Transport TS-004, does not provide a way to inform the server that all data blocks have been properly received in order to rebuild the current download file. This is the case in the currently proposed implementation. The server always sends all the fragments (uncoded and coded), even if the current download file has been rebuilt before the end of the complete broadcast fragmentation transaction.

If needed, the user is responsible for implementing a 'proprietary' protocol to avoid such behavior. For instance, when all the required fragments have been received and the current download file rebuilt, a simple crc32 can be computed and sent back to the server. The server should decide to stop broadcasting the remaining fragments.

This approach requires cooperation between the device maker and the network operator in order to define the 'proprietary' part of the protocol.
4 SBSFU/end-device manager relationship

4.1 Secure boot (SB)
The secure boot loader (SB) is software that permanently resides in the microcontroller’s read-only memory. Secure boot checks the integrity and authenticity of the user application that is executed. Secure boot executes every time a reset occurs and checks if there is a new firmware update process to complete.

4.2 Secure firmware update (SFU)
Secure firmware update provides a secure implementation of in-field firmware updates, enabling secure download of a new firmware image either through UART or firmware update to the end-device. Two firmware update scenarios are possible:

- **No new firmware to install**: There is no firmware update process to complete, and secure boot (SB) does some signature verifications and branches to the current active firmware - the (Slot#0) user application.
- **New firmware to install**: The firmware update process has to be completed. Secure boot (SB) transfers control to secure firmware update (SFU), which contains the firmware-update related software. SFU does the firmware update in Slot#1 and does a firmware swap processing from Slot#1 to Slot#0, and transfers control to secure boot. Secure boot checks if there is an firmware update to complete, and branches to the new firmware in Slot0#.

![Figure 9. Boot flow with SBSFU and SBSFU memory map](image-url)
4.3 Security

Security is ensured either through the LoRaWAN protocol, or by means of SBSFU application security services. During a Multicast session, all the end-devices share the same session keys. There is a potential risk when one of the devices becomes compromised, as an attacker can initiate a multicast session with rogue firmware. To counter this, the SBSFU can add a layer of security by using an asymmetric cryptography scheme. When a firmware update is generated, the update is signed (TAG) with a private key by means of the PrepareImage SBSFU tool [7]. When the end-device receives the firmware update, it verifies the signatures against the file received and the public key held in the ‘secure core’ part of the end-device.

Figure 10. Signed firmware image

4.4 End-device memory

The firmware update over the air feature has a direct impact on the memory size (FLASH/RAM) of the end-device. The amount of memory needed depends on the maximum size of the firmware image, the technology used to manage the new firmware-update image, and also of the bootloader requirements. The current version proposes a full firmware-update feature.

4.5 Update agent

During the first step of the FUOTA update process - the transfer of the data block (firmware image) from the server to end-device, every time the end-device receives a fragment [3] coming from the server, it is stored in SRAM. When the entire data block (new firmware image) is received from the server (all fragments received and recombination done), it is transferred (written to Flash memory) by the UpdateAgent in the user workspace (Slot#1). After this, the UpdateAgent generates an NVICReset in order to transfer the control of the MCU to the secure boot loader.

Note: The full-caching mechanism approach allows the number of writes to Flash memory to be reduced. However, this places a limit on the size of the new firmware image being downloaded. Both the caching method and the firmware image size to update depend on the end-device SRAM size.
5 Design overview

This package offers a FUOTA project for STM32L476 microcontrollers. The FUOTA project is split into three sub-projects: 2_Images_SECureBin, 2_Images_SBSFU and LoRaWAN_End_Node.

The middleware is provided in source-code format, and is compliant with the STM32Cube HAL driver.

5.1 LoRaWAN features

- **LoRaWAN L2 V1.0.3**
  - Class A (baseline) / Class C (continuous) and Class B (beacon)
- **Application Layer V1**
  - Clock synchronization, fragmentation data block, and remote multicast setup
- **LoRaWAN RP V1.0.3**
  - Regional parameters

5.2 SBSFU features

5.2.1 Secure boot (root-of-trust services)

- Activation and checking of the necessary security mechanisms on the STM32L476 platform, to protect critical operations and secret data from attack.
- Checking the authentication and integrity of the user application before execution.
5.2.2 Secure firmware update (SFU)

- Detection of the new (encrypted) firmware version to install:
  - from a local download service (via the Ymodem)
  - pre-downloaded OTA via the user application (LoRaWAN)
- Firmware version management (check for unauthorized updates or unauthorized installation)
- Secure firmware update:
  - firmware authentication and integrity check
  - firmware decryption (if encryption is activated)
  - firmware installation
- On error occurrence during the new image installation, recovery of the new firmware image (rollback to the previous valid firmware version not supported)
- Execution of new installed firmware (once authenticated and integrity checked).

5.2.3 Cryptography

The official X-CUBE-SBSFU Expansion Package for STM32Cube [7] is delivered with two different cryptographic middleware libraries, either X-CUBE-CRYPTOLIB, which requires an export control agreement, or mbedTLS cryptographic services delivered as open-source code. The I-CUBE-LRWAN FUOTA project package only provides the mbedTLS option.

To use X-CUBE-CRYPTOLIB, the user must download the official X-CUBE-SBSFU Expansion Package for STM32Cube, and replace mbedTLS with X-CUBE-CRYPTOLIB in the project. These two libraries are equivalent in terms of cryptographic services.

The I-CUBE-LRWAN FUOTA package is by default configured as: Asymmetric without encryption.

<table>
<thead>
<tr>
<th>Features</th>
<th>Asymmetric with AES encryption</th>
<th>Asymmetric without encryption</th>
<th>Symmetric (AES GCM)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Confidentiality</td>
<td>AES CBC encryption (firmware binary)</td>
<td>-</td>
<td>AES CBC encryption (firmware binary)</td>
</tr>
<tr>
<td>Integrity</td>
<td>SHA256 (firmware header + firmware binary)</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Authentication</td>
<td>SHA256 of the firmware header is ECDSA signed</td>
<td>SHA256 of the firmware binary stored in the firmware header</td>
<td>AES GCM tag (firmware header and firmware binary)</td>
</tr>
<tr>
<td>Cryptographic keys in end-device</td>
<td>Private AES CBS key (secret) public ECDSA</td>
<td>Public ECDSA key</td>
<td>Private AES GCM key (secret)</td>
</tr>
</tbody>
</table>

5.2.4 SBSFU configuration

Cryptographic libraries

As specified in Section 5.2.3 Cryptography, the I-Cube-LRWAN FUOTA project project integrates the third-party middleware mbedTLS (open-source code).

Cryptographic default scheme

By default, the project is configured without cryptography. In this case there is no firmware encryption, only authentication and integrity are ensured.
SBSFU application features
Configuration possibilities are offered through option compilation switches. By default the project supports local loader, and all the security IPS are turned off to make debug easier.

Figure 13. Default cryptographic file structure

```c
#define SECBOOT_ECCDSAWITHOUT_ENCRYPT_SHA256
#define SECBOOT_ECCDSAWITH_AES128_CBC_SHA256
#define SECBOOT_AES128_GCM_AES128_GCM_AES128_GCM
```

Figure 14. File structure for SBFU application features

```c
#define SFU_DEBUG_MODE
#define SFU_VERBOSE_DEBUG_MODE
#define SECBOOT_USE_LOCAL_LOADER
#define SECBOOT_DISABLE_SECURITY_IPS
```
5.3 Firmware architecture

Figure 15 summarizes the firmware design and the components involved in an end-device supporting the FUOTA features.

**Figure 15. Top-level firmware design**

- Secure Boot Root of TRust
- Secure firmware loader
- Safe firmware programming
- User application
- Firmware management
- Mcast
- Frag
- Clock sync
- LmHandler
- LoRaWAN

*Note:* As stated in Section 3.1 Network architecture, the firmware management block implementation in the FUOTA project is a proof of concept.
6 FUOTA middleware programming guidelines

This section describes the LoRaMac handler APIs.

6.1 Middleware initialization

This function initializes the LoRaMac layer. It initializes the callback primitives of the MCPS and MLME services (see [8]) and the run-time initialization of the LoRaMac layers (active region, Tx parameters, and so on).

<table>
<thead>
<tr>
<th>Table 4. LmHandlerInit description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Function</td>
</tr>
<tr>
<td>LmHandlerErrorStatus_t LmHandlerInit (LmHandlerCallbacks_t *callbacks);</td>
</tr>
</tbody>
</table>

**Note:** All packages (clock synchronization, remote multicast setup and fragmentation data block transport) are mounted and activated by default within the LoRAWAN stack by means of the following constant:

```c
#define LORAWAN_DATA_DISTRIB_MGT 1
```

This constant is set within the Projects\NUCLEO-L476RGV\Applications\LoRaWAN_FUOTA\LoRaWAN_End_Node\LoRaWAN\Target\lorawan_conf.h file.

6.2 LoRaMac process

This function processes the LoRaMac events and radio events.

<table>
<thead>
<tr>
<th>Table 5. LmHandlerProcess description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Function</td>
</tr>
<tr>
<td>void LmHandlerProcess(void);</td>
</tr>
</tbody>
</table>

6.3 Package registering

This function registers the required packages (PACKAGE_ID_COMPLIANCE, PACKAGE_ID_CLOCK_SYNC, PACKAGE_ID_REMOTE_MCAST_SETUP, PACKAGE_ID_FRAGMENTATION, PACKAGE_ID_FIRMWARE_MANAGEMENT).

<table>
<thead>
<tr>
<th>Table 6. LmHandlerPackageRegister description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Function</td>
</tr>
<tr>
<td>LmHandlerErrorStatus_t LmHandlerPackageRegister (uint8_t id, void *params );</td>
</tr>
</tbody>
</table>
6.4 LoRaWAN middleware configuration

This function configures the LoRaMAC layers (such as active region, access following keys commissioning parameters).

<table>
<thead>
<tr>
<th>Function</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>LmHandlerErrorStatus_t LmHandlerConfigure (LmHandlerParams_t *handlerParams);</td>
<td>LoRaMAC handler configuration.</td>
</tr>
</tbody>
</table>

6.5 Join a LoRa network

This function sends a Join request to a LoRa network in Class A.

<table>
<thead>
<tr>
<th>Function</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>void LmHandlerJoin(ActivationType_t mode );</td>
<td>For OTAA mode, performs a JoinReq. For ABP devices, this is a pass-through function.</td>
</tr>
</tbody>
</table>

6.6 Check the join status

This function checks whether the device is joined to the network.

<table>
<thead>
<tr>
<th>Function</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>LmHandlerFlagStatus_t LmHandlerJoinStatus( void );</td>
<td>Check the Join device status. LORAMAC_HANDLER_SET if joined, otherwise LORAMAC_HANDLER_RESET.</td>
</tr>
</tbody>
</table>

6.7 LoRaWAN class change

This function requests the MAC layer to change the LoRaWAN class of the device.

<table>
<thead>
<tr>
<th>Function</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>LmHandlerErrorStatus_t LmHandlerRequestClass(DeviceClass_t newClass );</td>
<td>Switch Class Request. Only switching from class A to class B/C or from class B/C to class A is allowed</td>
</tr>
</tbody>
</table>

6.8 Check the LoRaMac handler state

This function checks the state of the LoRaMac handler.

<table>
<thead>
<tr>
<th>Function</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>bool LmHandlerIsBusy(void);</td>
<td>Indicates if the LoRaMac Handler is busy. Returns true or false.</td>
</tr>
</tbody>
</table>
### 6.9 Send an uplink frame

This function requests the MAC layer to send a Class A uplink frame.

#### Table 12. LmHandlerSend description

<table>
<thead>
<tr>
<th>Function</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><code>LmHandlerErrorStatus_t LmHandlerSend(LmHandlerAppData_t *appData, LmHandlerMsgTypes_t isTxConfirmed, TimerTime_t *nextTxIn, bool allowDelayedTx);</code></td>
<td>Request a data appData to be sent with an indication of whether the Tx is TxConfirmed or TxUnConfirmed.</td>
</tr>
</tbody>
</table>
Getting started

7.1 FUOTA programing guide
This section describes how to generate a FUOTA project (referred to as a UserApp in the SBSFU literature). The developer must follow this flow description step-by-step.

7.2 Folder structure
A top-level view of the file structure is shown in Figure 16.

Figure 16. Project file structure

7.2.1 How to generate a FUOTA project
The following steps must be followed to generate a FUOTA project.

1. **SECoreBin**
   This step is needed to create the SECoreBin engine binary including all the required 'trusted' code and keys. The binary is linked to the SBSFU code in step 2.

2. **Secure boot and secure firmware update (SBSFU)**
   This step compiles the SBSFU source code implementing the state machine and protection configurations. It links the code with the SECoreBin engine binary, including the 'trusted' code. It also generates a file that includes symbols used by the user application to call the SE interface functions.

3. **User Application (FUOTA)**
   This step generates the user application binary file (FUOTA) that is uploaded to the device by the SFU process (`UserApp.sfb`). It generates a binary file concatenating the SBSFU binary, the user application (FUOTA) in clear format with the corresponding FW header added (`SBSFU_UserApp.bin`).

   **Note:** For each step (1, 2 and 3), open the respective sub-project: 2_images_SECoreBin (step 1), 2_images_SBSFU (step 2), and end node (step 3) in the dedicated IDE folder. Then regenerate (make) the respective binary file. Each sub-project is configured (in the project options) in order to call the 'postbuild.bat' file when needed.

   See [7] for details on how to configure a complete SBSFU project.
7.2.2 How to generate a data block (new firmware update)

To generate a new piece of firmware (N+1) to be downloaded over-the-air to allow the old current firmware (N) to be updated, there are two possibilities:

- use the user application binary file (userApp.sfb) which has been generated by the IDE tool
- or
- use the Prepareimage tool to generate a download application binary file (UserApp_To_Download.sfb).

This tool comes from the original X-CUBE-SBSFU STM32Cube Expansion Package. For a complete and detailed description of this tool, see [7] (Appendix E).

**Prepareimage** flow:

Even if only the full-firmware update feature is supported, the **diff** option of the prepareimage tool can be applied. In the best case, code modification is located in adjacent sections, and the **diff** may generate a useful UserApp_To_Download.bin file. In the worst case, the **diff** result is not relevant and the UserApp_To_Download.bin file is the same as User_app.bin.

1. Generate the **To_Download image**
   ```
   python prepareimage.py diff -1 UserApp_v1.bin -2 UserApp_v2.bin UserApp_To_Download.bin -a 16 --poffset UserApp_To_Download.offset
   ```

2. Generate the clear complete firmware tag (SHA256 of the clear FW)
   ```
   python prepareimage.py sha256 UserApp_v2.bin UserApp_v2.sign
   ```

3. Generate the clear 'To_Download' firmware tag (SHA256 of the clear FW)
   ```
   python prepareimage.py sha256 UserApp_To_Download.bin UserApp_Download.sign
   ```

4. Generate the .sfb firmware metadata (header) and the **To_Download** binary
   ```
   python prepareimage.py pack -m SFU1 -k ECCKEY.txt -r 44 -p 1 -v 2 -f UserApp_v2.bin -t UserApp_v2.sign --pfw UserApp_To_Download.bin --ptag UserApp_To_Download.sign --poffset UserApp_To_Download.offset UserApp_To_Download.sfb
   ```

**Note:** X-CUBE-SBSFU firmware image tool preparation readme.txt is in
<project>Middlewares\ST\STM32_Secure_Engine\Utilities\KeysAndImages folder.
7.2.3 How to download the data block (full firmware) to the end-device

There are two ways to download the firmware:
- a local download via UART virtual COM using Ymodem protocol
- remote download via over-the-air mechanisms proposed by the LoRaWAN protocol.

The Ymodem protocol should be used during the development phase, whereas the LoRaWAN protocol is a main feature of the product. It is up to the user to choose the right approach.

For a complete description of Ymodem usage see [7].

7.2.4 How to create and manage a FUOTA campaign

This section does not aim to define, or show how to create, a FUOTA campaign on an application server. These aspects of a FUOTA campaign depend on the services provided by the network operator. Hence, here only the salient points relating to FUOTA campaign support are outlined.

The application server must:
- support the following packages:
  - the synchro package (TS-003) [5]
  - the fragmentation package (TS-004) [3]
  - the MulticastSetup package (TS-005) [4]
- support Class-C mode, as defined in the LoRaWAN specification V1.0.3 [1]
- be compliant with the 'interop test' proposed by the FUOTA working group of the LoRa Alliance
- have the capability to manage the data block (firmware image) to be downloaded.

'Interop test' is the minimum test proving that the end-device is able to receive a data block file from the server. This minimum test is shown in Section 3.5 Network/end-device interworking.

7.2.5 How to debug the end-node application

The complete system consists of a secure boot and an end-node application. When the target resets, the secure boot starts first. After some secure-boot checking the system jumps to the entry point of the end-node application. Since the end-node application is linked to the secure boot, the end-node application (UserApp.bin) cannot be downloaded directly with the debugger. In order to debug the end-node application, the following flow must be respected:

1. Flash the target with the complete system (SBSFU_UserApp.bin) using the ST Link tools.
2. Once the target is Flashed, the sub-project can be attached to the running target. Debug (with breakpoints, watch variables, and so on).
3. Modifications can now only be done on the end-node application. There are two ways to reload the target with the new binary:
   a. Reload the complete system (SBSFU_UserApp.bin) as described in (step 1), and attach to the target (step 2)
   b. Load the end-node application only (UserApp.sfb) via the YModem. When the target is running, attach as described in Step 2.

For further details on how to debug an application running on SBSFU, see [8].

7.3 Software description

When the I-CUBE-LRWAN delivery is unzipped, the package has the following structure.

The I-CUBE-LRWAN package contains a FUOTA project. The FUOTA project is made up of three sub-projects: SECoreBin, SBSFU and LoRaWAN_End_Node. Each sub-project has a dedicated IDE folder (IAR IDE environment) containing the Project.eww file to activate in order to start the IAR IDE debugger.
7.3.1 Compilation switches

7.3.1.1 Crypto switches
SE_CoreBin instantiates the crypto scheme selected with SECBOOT_CRYPTO_SCHEME.

<table>
<thead>
<tr>
<th>Symbols</th>
<th>Description</th>
<th>Default state</th>
</tr>
</thead>
<tbody>
<tr>
<td>SECBOOT_ECCDSA_WITHOUT_ENCRYPT_SHA256</td>
<td>No FW encryption, only authentication and integrity are ensured.</td>
<td>Enabled</td>
</tr>
<tr>
<td>SECBOOT_ECCDSA_WITH_AES128_CBC_SHA25</td>
<td>Authentication, integrity, and confidentiality are ensured.</td>
<td>Disabled</td>
</tr>
<tr>
<td>SECBOOT_AES128_GCM_AES128_GCM_AES128_GCM</td>
<td>Authentication, integrity, and confidentiality are ensured.</td>
<td>Disabled</td>
</tr>
</tbody>
</table>

7.3.1.2 Security switch
SBSFU instantiates the security item selected through SECBOOT_DISABLE_SECURITY_IPS. When this symbol is defined, all IP security protections are disabled (WRP, RDP, IWDG, DAP, and so on). See [8]

<table>
<thead>
<tr>
<th>Symbols</th>
<th>Description</th>
<th>Default state</th>
</tr>
</thead>
<tbody>
<tr>
<td>SECBOOT_DISABLE_SECURITY_IPS</td>
<td>Disables all security IPs simultaneously when activated.</td>
<td>Enabled</td>
</tr>
</tbody>
</table>

7.3.1.3 Debug switch
In Projects\NUCLEO-L476RG\Applications\LoRaWAN FUOTA\LoRaWAN_End_Node\Core\Inc\sys_conf.h
- Debug mode is enabled by setting the constant DEBUGGER_ENABLED to 1
- Lower power mode is enabled by setting the constant LOW_POWER_DISABLE to 0

7.3.1.4 Sensor switches
When no sensor expansion board is plugged into the setup, the constant SENSOR_ENABLED is set to 0 in Projects\NUCLEO-L476RG\Applications\LoRaWAN FUOTA\LoRaWAN_End_Node\Core\Inc\sys_conf.h

7.3.1.5 Switch options
Table 15 summarizes the main application configuration options.

<table>
<thead>
<tr>
<th>Function</th>
<th>Switch option</th>
<th>Definition</th>
<th>Where</th>
</tr>
</thead>
<tbody>
<tr>
<td>LoRa stack</td>
<td>STATIC_DEVICE_EUI</td>
<td>Static or dynamic end-device identify.</td>
<td>se-identity.h</td>
</tr>
<tr>
<td></td>
<td>STATIC_DEVICE_ADDRESS</td>
<td>Static or dynamic end-device address.</td>
<td>se-identity.h</td>
</tr>
<tr>
<td></td>
<td>ACTIVE_REGION</td>
<td>Enable the band selection. By default it is LORAMAC_REGION_EU868</td>
<td>lora_app.h</td>
</tr>
<tr>
<td>Debug</td>
<td>DEBUGGER_ENABLED</td>
<td>Enable MCU debug mode in Sleep, Stop and Standby modes.</td>
<td>sys_conf.h</td>
</tr>
<tr>
<td>Sensor</td>
<td>SENSOR_ENABLED</td>
<td>Enable the call to the sensor board. It is up to the user to implement the function call to the relevant sensor.</td>
<td>sys_conf.h</td>
</tr>
<tr>
<td>Power</td>
<td>LOW_POWER_DISABLE</td>
<td>Disabled low power.</td>
<td>sys_conf.h</td>
</tr>
</tbody>
</table>
8 Memory footprint

8.1 End-node application

Values given in Table 16 are measured for the following IAR (EWARM Compiler 8.32.4) configuration
- optimization: optimized for level High size
- debug option: off
- trace option: off
- expansion board: / SX1276MB1MAS

<table>
<thead>
<tr>
<th>Item</th>
<th>Flash (bytes)</th>
<th>RAM (bytes)</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Application (user)</td>
<td>TBD</td>
<td></td>
<td></td>
</tr>
<tr>
<td>+LoRaWAN ClassA/C</td>
<td>15119</td>
<td></td>
<td></td>
</tr>
<tr>
<td>+App Layer ClockSync</td>
<td>828</td>
<td></td>
<td></td>
</tr>
<tr>
<td>+App Layer Fragmentation</td>
<td>1092</td>
<td></td>
<td></td>
</tr>
<tr>
<td>+App Layer RemoteMCast</td>
<td>1636</td>
<td>78776</td>
<td>Memory footprint for the overall application (App_user + LoRa ClassA + LoRa stack)</td>
</tr>
<tr>
<td>+ LmHandler</td>
<td>2032</td>
<td></td>
<td>The RAM caching memory to receive the data block is 67 Kbytes</td>
</tr>
<tr>
<td>+ FragDecoder</td>
<td>1352</td>
<td></td>
<td></td>
</tr>
<tr>
<td>+ Remainder</td>
<td>32608</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>54667</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total: 54667 + 7981 (Lib) = 62648 bytes

8.2 SBSFU

<table>
<thead>
<tr>
<th>Item</th>
<th>Flash (bytes)</th>
<th>RAM (bytes)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SE_Core.bin</td>
<td>26809</td>
<td>10741</td>
</tr>
<tr>
<td>Part of SFU</td>
<td>23000</td>
<td></td>
</tr>
<tr>
<td>MbedTLS imposes a 4 Kbyte stack</td>
<td>4614</td>
<td></td>
</tr>
</tbody>
</table>

Total: 26809 + 27614 = 54423 bytes
# Revision history

## Table 18. Document revision history

<table>
<thead>
<tr>
<th>Date</th>
<th>Revision</th>
<th>Changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>04-Nov-2019</td>
<td>1</td>
<td>Initial version.</td>
</tr>
</tbody>
</table>
| 22-Mar-2021 | 2        | Updated:  
  • Note in Section 3.1 Network architecture  
  • User application information in Section 3.4.4 Application layer.  
  • Section 4.2 Secure firmware update (SFU). Merged with old ‘Section 4.2.1: Device update scenario’ and updated content)  
  • Section 5.1 LoRaWAN features  
  • Table 4. `LmHandlerInit` description  
  • Table 7. `LmHandlerConfigure` description  
  • Table 8. `LmHandlerJoin` description  
  • Table 12. `LmHandlerSend` description  
  • Section 7.3.1.3 Debug switch  
  • Section 7.3.1.4 Sensor switches  
  • Table 15. Switch options  
  • Table 16. End-node application memory footprint figures  
  • Table 17. SBSFU memory footprint figures. |
| 01-Oct-2021 | 3        | Updated:  
  • Sub-project names in Section 5 Design overview  
  • Note in Section 5.3 Firmware architecture  
  • Added a package to Section 6.3 Package registering  
  • Section 7.2.2 How to generate a data block (new firmware update)  
  • Section 8.1 End-node application  
  • Section 8.2 SBSFU.  
Throughout the document changed term "FUOTA application" to "FUOTA project". |
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